



11w 1756
Dkt. 2271/66507

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Yuki NAKAMURA et al.

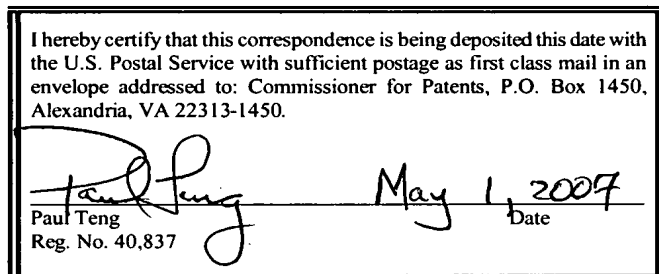
Serial No.: 10/044,490

Group Art Unit: 1756

Date Filed: January 9, 2002

Examiner: Martin J. Angebrannt

For: PHASE CHANGE OPTICAL RECORDING MEDIUM



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Commissioner for Patents
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Sir:

INFORMATION DISCLOSURE STATEMENT

In order to ensure compliance with the applicant's duty of disclosure under 37 C.F.R. §1.56 and §1.97(a)-(d), Applicant hereby submits this Information Disclosure Statement.

This Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(d). Each reference listed in the Form PTO-1449 annexed to this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Copies of the cited references are enclosed herewith. Reference (JP11-070737) cited in the Japanese official action was previously cited in a July 26, 2004 Office Action in connection with this U.S. application and therefore is not being listed on the Form PTO-1449 annexed hereto.

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The references listed in the annexed Form PTO-1449 were cited in a February 27, 2007 communication in connection with a counterpart Japanese patent application. A copy of the February 27, 2007 Japanese communication is also enclosed herewith. A translation of the attached communication from the Japanese Patent Office is available to the USPTO through the

Dossier Access System. Should the translation be unavailable, the Examiner is requested to contact the undersigned to assure that the appropriate information is available for consideration.

It is respectfully requested that the information cited in the annexed Form PTO-1449 be considered by the Examiner in connection with the above-identified patent application, and that such art be made of record in said application.

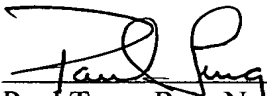
The citation of the listed item is not a representation that it constitutes a complete or exhaustive listing of the relevant art or that the item is prior art. The item listed is submitted in good faith, but is not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of the particular item, it will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this Information Disclosure Statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

The fee under 37 C.F.R. § 1.17(p) for filing an Information Disclosure Statement pursuant to 37 C.F.R. § 1.97(d) is ONE HUNDRED EIGHTY DOLLARS (\$180.00). A check for the \$180.00 fee is enclosed herewith.

The Patent Office is hereby authorized to charge any additional fees that are required for consideration of this Information Disclosure Statement, and to credit any overpayment during prosecution of this application, to our Deposit Account No. 03-3125.

Respectfully submitted,



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